SEC Form 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					or	Section	n 30(n) 0	i the	investmen		npany Act d	1940							
1. Name and Address of Reporting Person* <u>White Timothy N</u>						2. Issuer Name and Ticker or Trading Symbol <u>CARRIER GLOBAL Corp</u> [CARR]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) (First) (Middle) 13995 PASTEUR BOULEVARD					3. Date of Earliest Transaction (Month/Day/Year) 09/01/2021								below)			below)	,		
(Street) PALM BEACH GARDENS FL 33418				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 						
(City)	(Sta	ite) (2	Zip)																
		Tab	le I - Nor	n-Deriv	vative	Sec	urities	Ace	quired,	Dis	posed of	, or Ben	eficial	ly Owned					
1. Title of Security (Instr. 3) 2. Transa Date (Month/D			Day/Year) Exe		A. Deemed xecution Date, any lonth/Day/Year)		Code (Instr.					Beneficia Owned F	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)			
								Code	v	Amount	(A) or (D)	Price	Reported Transacti (Instr. 3 a	orted isaction(s) tr. 3 and 4)			(Instr. 4)		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)			Transa Code (Transaction of Code (Instr. Der 8) Sec Acc (A) Dis of (of E		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		Derivative Security	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	e S Ily I	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares						
Restricted Stock Units	(1)	09/01/2021			Α		10,318		09/01/20	22	(1)	Common Stock	10,318	\$ \$0	10,318	8	D		
Restricted Stock Units	(1)	09/01/2021			A		10,318		09/01/20	23	(1)	Common Stock	10,318	\$0	10,318	8	D		
Restricted Stock Units	(1)	09/01/2021			A		10,319		09/01/20	24	(1)	Common Stock	10,319	\$0	10,319	9	D		
Stock Appreciation Right	\$57.89	09/01/2021			A		42,548		09/01/20	22	08/31/2031	Common Stock	42,548	\$0	42,548	8	D		
Stock Appreciation Right	\$57.89	09/01/2021			A		42,548		09/01/20	23	08/31/2031	Common Stock	42,548	\$0	42,548	8	D		
Stock Appreciation Right	\$57.89	09/01/2021			A		42,549		09/01/20	24	08/31/2031	Common Stock	42,549	\$0	42,549	9	D		

Explanation of Responses:

1. Each Restricted Stock Unit (RSU), including dividend equivalents that accrue during the vesting period, represents a contingent right to receive one share of Carrier Global Corporation common stock. The RSUs vest contingent upon the reporting person's continued employment at the conclusion of the vesting period.

<u>/s/ William Langston as</u>	
Attorney-in-Fact	

09/03/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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