

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 OR 15(d) of
The Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 9, 2021

CARRIER GLOBAL CORPORATION

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of incorporation)

001-39220
(Commission File Number)

83-4051582
(I.R.S. Employer Identification No.)

13995 Pasteur Boulevard
Palm Beach Gardens, Florida 33418
(Address of principal executive offices, including zip code)

(561) 365-2000
(Registrant's telephone number, including area code)

N/A
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

| Title of each class | Trading Symbol(s) | Name of each exchange on which registered |
|---------------------------------|-------------------|---|
| Common Stock (\$0.01 par value) | CARR | New York Stock Exchange |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

(d) Election of Director.

The Board of Directors of Carrier Global Corporation (the “Company”) appointed Beth A. Wozniak as an independent director effective June 9, 2021, with a term expiring at the 2022 Annual Meeting of Shareowners. The Board also appointed Ms. Wozniak to the Board’s Governance Committee.

The appointment of Ms. Wozniak was not pursuant to any arrangement or understanding between Ms. Wozniak and any third party. As of the date of this report, neither Ms. Wozniak, nor any of her immediate family members, is a party, either directly or indirectly, to any transaction that is required to be reported pursuant to Item 404(a) of Regulation S-K.

Ms. Wozniak will be compensated consistent with the Company’s compensation programs for non-employee directors.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

99.1 [Press Release dated June 9, 2021.](#)

104 The cover page from this Current Report on Form 8-K, formatted in Inline XBRL

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CARRIER GLOBAL CORPORATION

Date: June 9, 2021

By: /s/ Mark G. Thompson
Mark G. Thompson
Vice President, Secretary & Deputy Legal Officer



For Immediate Release

Contact: Ashley Barrie
561-365-1260
Ashley.Barrie@Carrier.com

**Carrier Global Corporation Appoints Beth Wozniak
to its Board of Directors**

PALM BEACH GARDENS, Fla., June 9, 2021 — [Carrier Global Corporation](#) (NYSE: CARR) today announced that Beth Wozniak, Chief Executive Officer and Director of nVent Electric plc, has been appointed to Carrier's Board of Directors.

Prior to leading nVent, Wozniak was Senior Vice President & President of Pentair's electrical business. Pentair spun its electrical business to form nVent in April 2018. Before joining Pentair, Wozniak held key leadership and P&L roles during her 25-year tenure at Honeywell, including overseeing critical technologies for HVAC systems. In her various roles she has developed deep experience in sensing, automation, controls and electrification, and in applying digital and technology solutions to buildings.

"We are very pleased to welcome Beth to Carrier's Board of Directors. Her strong track record of applying digital and technology solutions to industrial companies in the building space, combined with her experience leading a recently spun company, helps her bring a unique and compelling skillset to our Board," said Dave Gitlin, Carrier Chairman & CEO. "Beth's perspectives will be invaluable as we continue to extend our position as an innovation-led industry leader, underpinned by our culture of performance and our determination to be a leader in ESG."

"I am honored to be appointed to the Carrier Board of Directors," said Beth Wozniak. "I have tremendous respect for Carrier and the enduring values that have made it the industry leader. I look forward to contributing to the company's next phase of growth."

Wozniak's appointment is effective immediately.

About Carrier

As the leading global provider of healthy, safe and sustainable building and cold chain solutions, Carrier Global Corporation is committed to making the world safer, sustainable and more comfortable for generations to come. From the beginning, we've led in inventing new technologies and entirely new industries. Today, we continue to lead because we have a world-class, diverse workforce that puts the customer at the center of everything we do. For more information, visit corporate.carrier.com or follow Carrier on social media at [@Carrier](https://twitter.com/Carrier).
