## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Wa	ash	nino	ote	n.	D.	C.	20549

<b>STATEMENT</b>	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Timperman Jurgen  (Last) (First) (Middle)  13995 PASTEUR BOULEVARD					3. E	2. Issuer Name and Ticker or Trading Symbol     Carrier Global Corp [ CARR ]  3. Date of Earliest Transaction (Month/Day/Year) 02/04/2021								Relationship of Reporting Person(s) to Issuer leck all applicable) Director Director Difficer (give title below) President, Fire & Security				ner	
(Street) PALM BE	ALM BEACH FL 33418 ARDENS 33418					4. If Amendment, Date of Original Filed (Month/Day/Year)							Line)	S. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
Date				Date	h/Day/Year) if		2A. Deemed Execution Date, if any (Month/Day/Year)				ties Acquired (A) or I Of (D) (Instr. 3, 4 an		5. Amount of Securities Beneficially Owned Followin Reported		Form: I (D) or I		7. Nature of ndirect Beneficial Ownership Instr. 4)		
									Code	v	Amount	nount (A) or		Transaction(s) (Instr. 3 and 4)					
Common S	tock													27,693		D			
Common Stock														1:	11		I	By UTC Savings Plan Trustee	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year) if any (Month				Date, Transaction			ion Derivative E		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and of Securiti Underlying Derivative (Instr. 3 ar	es g Security	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported Transact	e s ally g	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	(A)		Date Exercisal		Expiration Date	Title	Amount or Number of Shares		(Instr. 4)				
Stock Appreciation Right	\$38.33	02/04/2021			A		102,255		02/04/20	24	02/03/2031	Common Stock	102,255	\$38.33	3 102,255 <sup>(1)</sup>		D		

## **Explanation of Responses:**

1. The reporting person was also awarded 26,400 Performance Share Units (PSUs) under the Carrier Global Corporation Long-Term Incentive Plan. Each PSU has a value equal to one share of Carrier common stock and will only vest if Carrier achieves pre-established performance targets for earnings per share growth and total shareowner return relative to a subset of industrial companies in the S&P 500 index over a three-year time period.

/s/ Ariel R. David as Attorney-

in-Fact

\*\* Signature of Reporting Person

Date

02/08/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.