Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB

Estin hours

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s per response:	0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

				or Se	ction 30(h) of the Ir	ivestme	nt Cor	mpany Act of 19	40					
1. Name and Address of Reporting Person <sup>*</sup> Viessmann Maximilian					r Name <b>and</b> Ticker RIER GLOB					5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner				
(Last)	(First)	3. Date of Earliest Transaction (Month/Day/Year) 01/02/2024							Director Officer (give title below)		(specify			
13995 PASTEUR BOULEVARD					endment, Date of C	)riginal F	Filed (	Month/Day/Year	6. Indi X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street)											Form filed by Mor	e than One Repo	orting Person	
PALM BEACH GARDENS	FL	33418		Rule 10b5-1(c) Transaction Indication										
(City)	(State)	(Zip)		Check this box to indicate that a transaction was made pursuant to a affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.						struction or written plar	that is intended to	satisfy the		
		Table I - No	on-Deriv	ative S	Securities Acq	uired,	, Dis	posed of, o	r Bene	ficially C	)wned			
D		2. Transad Date (Month/Da	Execution Date,		3. Transa Code (I 8)	Instr.				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

		Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
ommon Stock	01/02/2024	Α		58,608,959	Α	(1)	58,608,959	Ι	See Footnote <sup>(2)</sup>

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (	ction	5. Number of Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Expiration Exercisable Date		Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Director DSU	(3)	01/02/2024		Α		1,647.4756		(3)	(3)	Common Stock	1,647.4756	\$56.45	1,647.4756	D	

## Explanation of Responses:

1. As previously disclosed, on January 2, 2024, Carrier Global Corporation (the "Issuer") completed its previously announced acquisition of the climate solutions business of Viessmann Group GmbH & Co. KG ("Viessmann Group KG"), pursuant to a Share Purchase Agreement (the "Share Purchase Agreement") by and between Viessmann Group KG, the Issuer, and Johann Purchaser GmbH ("Purchaser"), a wholly owned subsidiary of the Issuer. Pursuant to the Share Purchase Agreement, the purchase price paid by Purchaser to Viessmann Group KG consisted of (i) EUR 10.2 billion in cash, and (ii) 58,608,959 shares of the Issuer's common stock, par value \$0.01 per share (the "Common Stock"), the acquisition of which is reported herein.

2. Held by Viessmann Group KG. The reporting person serves as the President and Chief Executive Officer and the controlling stockholder of the sole general partner and sole managing limited partner of Viessmann Group KG, and accordingly may be deemed to beneficially own the shares of Common Stock held by Viessmann Group KG.

3. The reporting person acquired these stock units under the Carrier Global Corporation Board of Directors Deferred Stock Unit Plan (the "Plan") in connection with the reporting person's annual compensation for service as a non-employee director of the Issuer. The Plan provides for payment of a portion or all of the annual compensation in Deferred Stock Units (DSUs). Upon resignation, removal, or retirement from the board of directors of the Issuer, the DSUs in the director's account under the Plan, including accrued dividend equivalents, are converted into an equal number of shares of Common Stock that, at the director's previous election, are distributed either in a lump-sum or in installments.

> /s/ Erin O'Neal, as Attorney-in-01/04/2024 Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.