Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C.	20549
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
OMB Number: 3235-0287						
Estimated average burden						
hours per response	: 0.5					

f 1940
5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
X Director 10% Owner
X Officer (give title Other (specify below)  President & CEO
y/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person  Form filed by More than One Reporting
Person  Or Reneficially Owned
X Form filed by One Re

rable 1- Non-bertvative Securities Acquired, Disposed of, or Beneficially Owned										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		Securities Form: Dir Beneficially (D) or Owned Following Indirect (I	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(111341. 4)	(111341.4)
Common Stock	05/18/2020		p <sup>(1)</sup>		57,580	A	\$17.4499 <sup>(2)</sup>	70,473 <sup>(3)</sup>	I	By Spouse's Trust
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned										

## (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed Execution Date 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature of Indirect 3. Transaction 10. Transaction Code (Instr. 8) Expiration Date (Month/Day/Year) Derivative Conversion Amount of Derivative derivative Ownership Security (Instr. 3) or Exercise Price of (Month/Day/Year) Derivative Securities Form: Direct (D) Security Securities Beneficial if any (Month/Day/Year) Underlying Beneficially Securities (Instr. 5) Ownership Acquired (A) or Disposed Derivative Security (Instr. 3 and 4) Derivative Security Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Reported of (D) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount or Number Date Expiration Code ν (A) (D) Exercisable Title

## **Explanation of Responses:**

- 1. This Form 4 is being amended to incorporate transaction code P, which reflects that this transaction was an open market purchase.
- 2. The price reported in Column 4 is a weighted average price for shares purchased in multiple transactions. The purchase prices range from \$17.385 to \$17.49 per share. The reporting person has provided to the issuer, and undertakes to provide to the Commission staff or a security holder of the issuer, upon request, full information regarding the number of shares purchased at each separate price
- 3. The reporting person, who previously served as an executive officer with United Technologies Corporation (UTC), held 12,893 shares of UTC that resulted in the receipt of 12,893 shares of Carrier common stock in connection with Carrier's spinoff from UTC on April 3, 2020, and those shares are reflected in column 5.

/s/ Ariel R. David as Attorney- 05/19/2020 in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.