

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden	
hours per response:	0.5

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Gitlin David L.</u> <hr/> (Last) (First) (Middle) 13995 PASTEUR BOULEVARD <hr/> (Street) PALM BEACH FL 33418 GARDENS <hr/> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Carrier Global Corp [CARR]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>President & CEO</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>05/18/2020</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	05/18/2020		A		57,580	A	\$17.4499 ⁽¹⁾	70,473 ⁽²⁾	I	By Spouse's Trust
Common Stock								5,965 ⁽³⁾	D	
Common Stock								2,839.9754 ⁽⁴⁾	I	By UTC Savings Plan Trustee

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					

Explanation of Responses:

- The price reported in Column 4 is a weighted average price for shares purchased in multiple transactions. The purchase prices range from \$17.385 to \$17.49 per share. The reporting person has provided to the issuer, and undertakes to provide to the Commission staff or a security holder of the issuer, upon request, full information regarding the number of shares purchased at each separate price within the range.
- The reporting person, who previously served as an executive officer with United Technologies Corporation (UTC), held 12,893 shares of UTC that resulted in the receipt of 12,893 shares of Carrier common stock in connection with Carrier's spinoff from UTC on April 3, 2020, and those shares are reflected in column 5.
- The reporting person, who previously served as an executive officer with United Technologies Corporation (UTC), held 5,965 shares of UTC that resulted in the receipt of 5,965 shares of Carrier common stock in connection with Carrier's spinoff from UTC on April 3, 2020, and those shares are reflected in column 5.
- The reporting person, who previously served as an executive officer with United Technologies Corporation (UTC), held approximately 2,839 shares of UTC that resulted in the receipt of approximately 2,839 shares of Carrier common stock in connection with Carrier's spinoff from UTC on April 3, 2020, and those shares are reflected in column 5.

/s/ Ariel R. David as Attorney- 05/18/2020
in-Fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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