

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>Appel David</u> (Last) (First) (Middle) 13995 PASTEUR BOULEVARD (Street) PALM BEACH FL 33418 GARDENS (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>Carrier Global Corp [CARR]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>President, Refrigeration</u>
	3. Date of Earliest Transaction (Month/Day/Year) 12/21/2020	
	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/21/2020		M		500	A	\$0 ⁽¹⁾	18,217	D	
Common Stock	12/21/2020		F		500	D	\$38.52	17,717	D	
Common Stock	12/21/2020		M		567	A	\$0 ⁽¹⁾	18,284	D	
Common Stock	12/21/2020		F		567	D	\$38.52	17,717	D	
Common Stock	12/21/2020		M		320	A	\$0 ⁽¹⁾	18,037	D	
Common Stock	12/21/2020		F		320	D	\$38.52	17,717	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Restricted Stock Unit RSU	\$0 ⁽¹⁾	12/21/2020		M		500		(2)	(2)	Common	500 ⁽²⁾	\$0	12,887	D	
Restricted Stock Unit RSU	(1)	12/21/2020		M		567		(3)	(3)	Common	567 ⁽³⁾	\$0	14,615	D	
Restricted Stock Unit RSU	(1)	12/21/2020		M		320		(4)	(4)	Common	320 ⁽⁴⁾	\$0	8,241	D	

Explanation of Responses:

- Each Restricted Stock Unit (RSU) represents a contingent right to receive one share of Carrier common stock.
- The reporting person was originally granted Performance Stock Units (PSUs) on January 2, 2018, by United Technologies Corporation (UTC), the former parent company of the issuer. These PSUs were converted to RSUs in connection with the spin-off of the issuer by UTC. This transaction reflects a reduction in the RSUs otherwise deliverable upon future vesting in order to satisfy federal tax obligations with respect to the RSUs.
- The reporting person was originally granted PSUs on February 5, 2019, by UTC. These PSUs were converted to RSUs in connection with the spin-off of the issuer by UTC. This transaction reflects a reduction in the RSUs otherwise deliverable upon future vesting in order to satisfy federal tax obligations with respect to the RSUs.
- The reporting person was originally granted RSUs on February 5, 2019, by UTC. This transaction reflects a reduction in the RSUs otherwise deliverable upon future vesting in order to satisfy federal tax obligations with respect to the RSUs.

/s/ Ariel R. David as Attorney-in-Fact 12/23/2020

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.