SEC Form 4	
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### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden

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Check this box if no longer subject to	SIA
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Crockett Kyle (Last) (First) (Middle) 13995 PASTEUR BOULEVARD			2. Issuer Name and Ticker or Trading Symbol <u>CARRIER GLOBAL Corp</u> [ CARR ]     3. Date of Earliest Transaction (Month/Day/Year)     02/03/2023		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner Officer (give title Other (specify below) Vice President, Controller		
(Street) PALM BEACH GARDENS (City)	FL (State)	33418 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	rting Person	

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		Disposed Of (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/03/2023		М		5,108	Α	<b>\$0</b> <sup>(1)</sup>	5,132	D	
Common Stock	02/03/2023		F		1,375	D	\$46.89	3,757	D	
Common Stock	02/04/2023		М		8,826	A	<b>\$0</b> <sup>(1)</sup>	12,583	D	
Common Stock	02/04/2023		F		2,150	D	\$46.89	10,433	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4		6. Date Exercisable and Expiration Date (Month/Day/Year)		Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares								
Restricted Stock Unit RSU	(1)	02/03/2023		м			5,108	02/03/2023	(2)	Common Stock	5,108	\$0.0000	0.0000	D					
Restricted Stock Unit RSU	(1)	02/04/2023		М			8,826	02/04/2023 <sup>(3)</sup>	(3)	Common Stock	8,826	\$0.0000	0.0000	D					

**Explanation of Responses:** 

1. Each Restricted Stock Unit (RSU), including dividend equivalents that accrue during the vesting period, represents a contingent right to receive one share of Carrier Global Corporation common stock. The RSUs vest contingent upon the reporting person's continued employment at the conclusion of the vesting period.

2. The reporting person was granted these RSUs on February 3, 2020 and are the last of three tranches that vested over a three-year period.

3. The reporting person was originally granted RSUs on February 4, 2020 by United Technologies Corporation (UTC), the former parent company of the Issuer. These RSUs were converted into RSUs of the Issuer in connection with the spin-off of the Issuer by UTC.

<u>/s/ William Langston as</u>	02/07/2023			
Attorney-in-Fact	02/07/2023			
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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