| SEC Form 4 |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

3235-0287

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|---|--|--------------------|----------------|
| Check this box if no longer subject | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP | OMB Number: | 32 |
| to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). | | Estimated average | burden |
| | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 | hours per response |) : |
| | or Section 30(h) of the Investment Company Act of 1934 | - | |

| | | | or Section 30(n) of the Investment Company Act of 1940 | | | |
|-----------------------------------|-------------------------|----------|---|------------------------|---|-----------------------------|
| 1. Name and Addre | ess of Reporting Perso | on* | 2. Issuer Name and Ticker or Trading Symbol CARRIER GLOBAL Corp [CARR] | | tionship of Reporting Pe all applicable) Director Officer (give title | 10% Owner Other (specify |
| (Last) 13995 PASTEU | (First) IR BOULEVARD | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 02/09/2023 | Α | below) Vice President, C | below) Controller |
| (Street) PALM BEACH GARDENS | FL | 33418 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Indiv Line) X | idual or Joint/Group Filir Form filed by One Rep Form filed by More tha Person | porting Person |
| (City) | (State) | (Zip) | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | Transaction Code (Instr. | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | Securities Beneficially | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--|---|-----------------------------|---|--|---|----------------------------------|------------------------------------|--|---|
| | | | Code | v | Amount (A) or Price | | | Transaction(s) (Instr. 3 and 4) | (1150.4) | (11150.4) |
| Common Stock | 02/09/2023 | | S | | 10,433 | D | \$ 45.8524 ⁽¹⁾ | 0.0000 | D | |

| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | |
|---|--|--|---|------------------------------|---|-----|-----|--|--------------------|--|--|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | |

Explanation of Responses:

1. The price reported in Column 4 is a weighted average price for shares sold in multiple transactions. The sale prices range from \$45.75 to \$46.00 per share. The reporting person has provided to the issuer, and undertakes to provide to the Commission staff or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price within the range.

| <u>/s/ William Langston as</u> | 02/09/2023 |
|----------------------------------|------------|
| <u>Attorney-in-Fact</u> | |
| ** Signature of Reporting Person | Date |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.